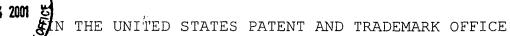
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: Eun Jun Kwak

Serial No.

: 09/897,742

Filed

: July 2, 2001

For

: Method for Creating Caricature

Art Unit 2621

Docket No. 3598-15

Assistant Commissioner for Patents

Washington, D.C. 20231

COMMUNICATION

Sir:

Enclosed are the signed declaration for inventor, declaration claiming small entity status, Form PTO SB/17, Form PTO 1449 and references, priority document and copy of Confirmation No. 8177 - Notice to File Missing Parts of Application.

The fee should be taken from applicants' attorney's deposit account No. 01-2940.

Dated: New York, NY October 2, 2001 Respectfully Submitted,

AUSLANDER & THOMAS

M. Arthur Auslander, 18,376

Attorneys for Applicant

505 Eighth Avenue New York, NY 10018

212-594-6900

F:\allfiles\misspart.wpd

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Serial No.

Filed

For

: Eun Jun Kwak

: 09/897,742

: July 2, 2001

: Method for Creating Caricature

## CERTIFICATE OF MAILING

I hereby certify that the missing parts are being deposited with the United States Patent and Trademark Office, deposited as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on the 2nd day of October, 2001.

. AUSLANDER & THOMAS

у\_\_\_\_

Dated: October 2, 2001

PTC/SB/17 (2/98)
Approved for use through 9/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
collection of information unless it displays a valid OMB control number.

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TOTAL AMOUNT O	F PAYMENT	(\$)	4335	0.0

er the Paperwork Reduction Act of 1995, no persons are required to	respond to a collection of info	ormation unless it displays a valid OMB control number.	
	Complete if Known		
FEE TRANSMITTAL	Application Number	09/897,742	
Patent fees are subject to annual revision on October 1.	Filing Date	July 2, 2001	
These are the fees effective October 1, 1997.	First Named Inventor	Eun-Jun Kwak	
mail Entity payments <u>must</u> be supported by a small entity statement, therwise large entity fees must be paid. See Forms PTO/SB/09-12.	Examiner Name		
See 37 C.F.R. §§ 1.27 and 1.28.	Group / Art Unit	2621	
TOTAL AMOUNT OF PAYMENT (\$) 435.00	Attorney Docket No.	3598-15	

The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit Account 01-2940    Auslander & Thomas   12	METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)				
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	SUBTOTAL (2) (\$), 370	Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 435.00	]			

SUBMITTED B	Υ	Complete (if	applicable)
Typed or Printed Name	M. Arthur Auslander	Reg. Number	18,376
Signature	mattlukol Date 10/2/01	Deposit Account User ID	CLUB28

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/897,742

07/02/2001

Eun-Jun Kwak

3598-15

M. Arthur Auslander Auslander & Thomas 505 Eighth Avenue

New York, NY 10018-6505



**CONFIRMATION NO. 8177 FORMALITIES LETTER** 

\*OC000000006453184\*

Date Mailed: 08/21/2001

09897742

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

A copy of this notice MUST be returned with the reply 10/05/2001 SZEWDIE1 00000003 012940

01 FC:201 02 FC:205 370.00 CH 65.00 CH

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Initial Patent Examination Division (703) 308-1202

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